

PATENT
Docket: 1232-4641



PATENT

Receipt
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Seiya Ohta
Serial No. : 09/649,128 Group Art Unit: 2712
Filed : August 28, 2000
For : Focus Adjustment Apparatus, Control Method Therefor, and Medium For
Supplying Control Program Therefor

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

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TC 2600 MAILROOM

Sir:

I hereby certify that the attached:

1. Request for Corrected Filing Receipt;
2. Copy of Official Correct Filing Receipt; and
3. Return receipt postcard.

along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents, Office of Initial Patent Examination; Customer Service Center, Washington D.C. 20231

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: November 3, 2000

By: 
Michael M. Murray

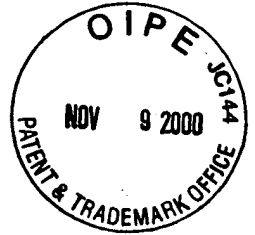
CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800

Docket: 1232-4641

PATENT

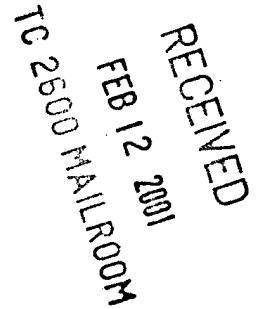
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REQUEST FOR CORRECTED FILING RECEIPT



SIR:

The enclosed Official Filing Receipt was recently received. The following correction is respectfully requested.

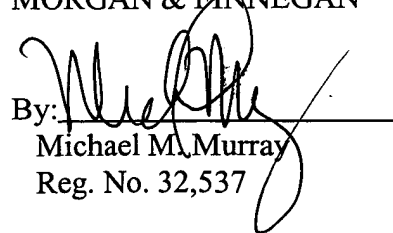
Under Foreign Applications, insert the following:

11-245272 filed 8/31/99 in Japan; and
2000-239115 filed 8/7/00 in Japan.

This information was correctly listed on the Utility Application Transmittal filed on August 28, 2000. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees which may be required for this request, or credit any overpayment to Deposit Account 13-4500, Order No. 1232-4641.

Respectfully submitted,

MORGAN & FINNEGAN

By: 
Michael M. Murray
Reg. No. 32,537

Dated: November 3, 2000

Morgan & Finnegan L.L.P
345 Park Avenue
New York, N.Y. 10154



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/649,128	08/28/2000	2712	1032	1232-4641	21	39	3

Morgan & Finnegan L L P
 345 Park Avenue
 New York, NY 10154

**FILING RECEIPT**

OC000000005478908

Date Mailed: 10/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Seiya Ohta, Yokohama-shi, JAPAN;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 10/17/2000

Title

Focus adjustment apparatus, control method therefor, and medium for supplying control program therefor

Preliminary Class

341

CASE 1232-4641 ATTY MMM
 INFORMATION DISCLOSURE STATEMENT November 28, 2000
 FOREIGN FILING _____
 CONVENTION DATE EXPIRES TO 2600 MAIL ROOM
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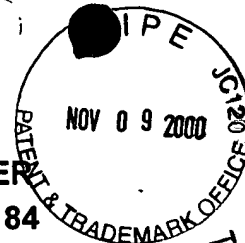
Data entry by : MARTIN, DIANE

Team : OIPE

Date: 10/17/2000



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15



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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

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FEE INCREASE NOTICE

Effective **OCTOBER 1, 2000**, Patent Fees will be adjusted. Please note that although the fee amounts required by the enclosed Notice might reflect the fees due on the date of filing, any fee paid on or after **OCTOBER 1, 2000** is subject to the new fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED

See www.uspto.gov for complete FY-2001 Fee Schedule

— Indicates fees remain at FY 2000 amount

DESCRIPTION	FY 2000	FY 2001
Basic filing fee - Utility	\$690	\$710
Basic filing fee - Utility (Small Entity)	\$345	\$355
Independent claims in excess of three	\$78	\$80
Independent claims in excess of three (Small Entity)	\$39	\$40
Claims in excess of twenty	\$18	—
Claims in excess of twenty (Small Entity)	\$9	—
Multiple dependent claim	\$260	\$270
Multiple dependent claim (Small Entity)	\$130	\$135
Surcharge - Late filing fee	\$130	—
Surcharge - Late filing fee (Small Entity)	\$65	—
Design filing fee	\$310	\$320
Design filing fee (Small Entity)	\$155	\$160
Plant filing fee	\$480	\$490
Plant filing fee (Small Entity)	\$240	\$245
Reissue filing fee	\$690	\$710
Reissue filing fee (Small Entity)	\$345	\$355
Reissue independent claims	\$78	\$80
Reissue independent claims (Small Entity)	\$39	\$40
Reissue claims in excess of twenty	\$18	—
Reissue claims in excess of twenty (Small Entity)	\$9	—
Provisional application filing fee	\$150	—
Provisional application filing fee (Small Entity)	\$75	—
Surcharge - Late provisional filing fee	\$50	—
Surcharge - Late provisional filing fee (Small Entity)	\$25	—
Non-English Specification	\$130	—
Extension - First month	\$110	—
Extension - First month (Small Entity)	\$55	—
Extension - Second month	\$380	\$390
Extension - Second month (Small Entity)	\$190	\$195
Extension - Third month	\$870	\$890
Extension - Third month (Small Entity)	\$435	\$445
Extension - Fourth month	\$1,360	\$1,390
Extension - Fourth month (Small Entity)	\$680	\$695
Extension - Fifth month	\$1,850	\$1,890
Extension - Fifth month (Small Entity)	\$925	\$945
Petition	\$130	—
Processing returned checks	\$50	—

For further fee information, please call
(703-308-HELP)